

Europäisches Patentamt European Patent Office Office européen des brevets



EP 0 866 628 A2 (11)

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication: 23.09.1998 Bulletin 1998/39 (51) Int. Cl.6: H04Q 7/36, H04Q 7/38

(21) Application number: 97307117.8

(22) Date of filing: 12.09.1997

(84) Designated Contracting States: AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC **NL PT SE**

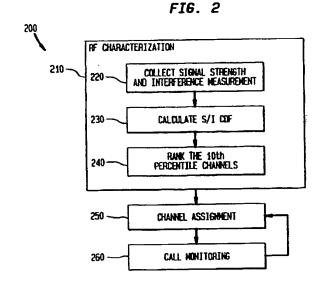
(30) Priority: 19.03.1997 US 820513

(71) Applicant: AT&T Corp. New York, NY 10013-2412 (US) (72) Inventor: Barnickel, Donald J. Flemington, New Jersey 08822 (US)

(74) Representative: Pearce, Anthony Richmond MARKS & CLERK, Alpha Tower, **Suffolk Street Queensway** Birmingham B1 1TT (GB)

System and method for dynamic channel assignment (54)

A system and method for providing dynamic (57)channel assignments in a microcellular system surrounded by a macrocellular system. The method characterizes the RF environment of the microcellular system and the macrocellular environment. Channels are then ranked according to the signal-to-interference ratio computed from the measured RF characteristics. A call is assigned to a highest ranked idle channel. All active calls are monitored to ensure voice quality and call performance by forcing hand-off to another channel before degradation occurs. In another embodiment, the system is self-configurable to changes in the macrocel-Iular configuration by including remote power adjustment and remote Supervisory Audio Tone assignment functionality.



Description

10

45

FIELD OF THE INVENTION

This invention relates to the field of wireless communications systems, and more particularly to the dynamic assignment of transmission channels in a cellular communications network.

BACKGROUND OF THE INVENTION

Indoor wireless access switch systems permit wireless service providers, e.g., paging service carriers and cellular carriers, to provide wireless communications between a microcellular communications system and its surrounding macrocellular communications system. In this framework, the microcellular system generally contemplates an indoor building communications system, where the cells within the microcellular system refer to specific or localized coverage areas within a macrocellular system. Although considerable progress has been made with the use of wireless technology in microcellular communications systems, for example, indoor systems, many technical problems remain.

One such problem area concerns how cellular communications channels are assigned between the indoor system and the surrounding macrocellular system. Existing implementations of indoor system channel assignment methods focus narrowly on traffic handling capacity, mobile speed and other similar factors. However, prior art systems do not address the issues which are of primary concern to the users of the system, namely, call processing and voice quality. An additional drawback of these methods is that they require information about the macrocellular configuration in order to allocate and assign a channel to the indoor system. As a consequence, prior art systems must be updated each time that a modification is made to the surrounding macrocellular configuration. Another disadvantage of many prior art methods is that they require that a fixed or predetermined radio frequency ("RF") threshold be set prior to initiating channel assignment. Other previously implemented methods have the added drawback of not having autonomous control over the channel assignment methodology and instead, the methodology is initiated and controlled by a Mobile services Switching Center ("MSC").

Accordingly, there is a need for a system and method which can dynamically assign channels with respect to call performance and voice quality.

SUMMARY OF THE INVENTION

In accordance with the present invention, a system and method is provided which dynamically assigns transmission channels in a microcellular communications system with respect to the characteristics of a surrounding macrocellular communications system. The present invention system is an efficient method for dynamically selecting available cellular channels based on call performance and voice quality. One exemplary embodiment of the present invention system utilizes a signal-to-interference matrix for every transmission channel to advantageously assign the highest performing idle channel to mobile users upon call origination, call termination, and hand-off requests.

High performance assignment is accomplished in the present invention system by dynamically assessing and characterizing the surrounding RF environment within its own coverage area and the macrocellular environment to make efficient channel assignments for system users. A measured signal matrix is utilized for a real-time signal-to-interference ratio trigger to determine channel assignments. As such, the present invention system requires no knowledge of the surrounding macrocellular configuration. Call performance and voice quality is farther assured by monitoring of idle and active calls on the system. Advantageously, the present invention system assigns essentially interference free channels both to originating calls and to calls in progress that have degraded in performance because of extracellular activity.

Additionally, the present invention advantageously utilizes remote power adjustment and remote Supervisory Audio Tone ("SAT") power assignment to farther enhance the quality of the dynamic channel assignment process. This added functionality permits the present invention system to be self-configurable in that call performance can be maintained without actual knowledge of the present or future surrounding macrocellular configuration.

A further advantage of the present invention method is that it operates within an existing call processing architecture. Implementation of the present invention is transparent to and requires no changes to the call processing functionality, and as a consequence, the present invention can be implemented with a user activated on/off feature. As such, the present invention is readily and immediately deployable in existing wireless communications systems.

55 BRIEF DESCRIPTION OF THE DRAWINGS

A more complete understanding of the present invention may be obtained from consideration of the following description in conjunction with the drawings in which:

FIG. 1 depicts a communications system having a macrocellular communications system and a microcellular communications system;

FIG. 2 is an illustrative flowchart for providing dynamic channel assignment in accordance with the present invention;

FIG. 3 is an illustrative flowchart for providing RF characterization in accordance with the present invention;

FIG. 4 is an illustrative flowchart for providing channel assignment in accordance with the present invention; and

FIG. 5 is an illustrative flowchart for providing call monitoring in accordance with the present invention.

For clarity of explanation, the illustrative embodiment of the present invention is presented as comprising individual functional blocks (including functional blocks labeled as "processors"). The functions these blocks represent may be provided through the use of either shared or dedicated hardware, including, but not limited to, hardware capable of executing software. For example the functions of processors presented in Figures 2 - may be provided by a single shared processor. (Use of the term "processor" or "controller" should not be construed to refer exclusively to hardware capable of executing software.)

Illustrative embodiments may comprise microprocessor and/or digital signal processor ("DSP") hardware, readonly memory ("ROM") for storing software performing the operations discussed below, and random access memory ("RAM") for storing results. Very large scale integration ("VESI") hardware embodiments, as well as custom VLSI circuitry in combination with a general purpose DSP circuit, may also be provided:

Although specific values are provided for various parameters throughout the following description, it would be understood that these values are adjustable so that the present invention can operate efficiently in any given environment and are used here only as default values (e.g., see Appendix 1).

A system and method is provided which permits dynamic channel assignments in a microcellular communications system with respect to a surrounding macrocellular communications system. The present invention provides an efficient method for a microcellular communications system to dynamically select available high performance cellular channels within its own coverage area to serve both call origination, call termination and hand-offs. High performance assignment in the present invention system is accomplished by dynamically assessing the surrounding RF environment within its own coverage area and the macrocellular environment to make efficient channel assignments for system users. Additionally, the present invention is self-configurable in that call performance can be maintained without knowledge of the surrounding macrocellular configuration. Furthermore, the present invention is readily deployable in existing wireless communication networks since it operates within an existing call processing architecture.

Referring to FIG. 1, an exemplary system 100 is depicted wherein the present invention methodology can be advantageously utilized. System 100 depicts a macrocellular communications system 101 which includes a macrocellular communications system 102 is shown as an indoor wireless access PBX system ("WAPBX") which includes a controller 130 coupled to a first antenna 140 and a second antenna 150. First antenna 140 is additionally in a communications link with a handheld unit 160. Although an exemplary embodiment of the present invention references an indoor communications system, it would be understood that the present invention is applicable to any microcellular communications system having a smaller service area within a surrounding macrocellular communications system. In this sense, a microcellular communications system is defined as having at least one cell.

Referring now also to FIG. 2, there is shown a flowchart 200 depicting a method for dynamically assigning channels in accordance with the present invention. In general, the present invention method consists of three functional steps, RF characterization 210, channel assignment 250, and call monitoring 260. In an exemplary embodiment of the present invention, RF characterization is achieved by having controller 130 collect signal strength measurements on both active and idle channels (block 220). For each idle channel, the measurements are stored in a channel-specific array so that a probability density function ("pdf") can be created for all channels. For active channels, the measurements are stored in one serving-signal array so that a pdf can be created for the server. The server represents the logical face or logical cell of WAPBX system 102. The interferers in each channel, for example, represent macrocells 110 or other WAPBX cells. Controller 130 will periodically convolve the serving signal pdf with each channel's pdf to produce a signal-to-interference ("S/I") pdf for each channel (block 230). The S/I pdf will be integrated to obtain the cumulative distribution function ("cdf"). From the S/I cdf, the 10th percentile value will be extracted and all channels will then be ranked in descending order by the S/I 10th percentile value (block 240).

Note that in this embodiment, there is a limit to the number of measurements stored for each channel. When the limit is reached, the oldest measurements will be discarded in order to accommodate the newest measurements. In daily operation, the pdfs will vary over time as extracellular activity increases or diminishes in specific sectors of the surrounding macrocells. Not only will this approach follow daily calling patterns, it will allow WAPBX system 102 to adapt to discontinuous RF engineering changes in the macrocellular network.

Controller 130 will then assign the channels top down from the S/I-ranked list so that the first channel assigned will have the best S/I ratio (block 250). Additional separation criteria between channels is not required since adjacent chan-

5

15

nel performance measures are inherent in the RF characterization. A final signal measurement will be made before the channel is assigned to ensure the channel is interference free. If it is not, then the next available channel in the ranked S/I list is chosen and the assignment is repeated.

In the exemplary embodiment of the present invention, call monitoring is performed for active calls to prevent the call performance from degrading (block 260). In addition to monitoring the signal strength, controller 130 will be able to monitor the Supervisory Audio Tone ("SAT") power for each call. As would be understood, there are three available SATs, one of which is assigned to the system. As explained below, the SATs help a cell receiving a call to identify or distinguish between a serving signal and an interfering signal. Measurements will be taken for all three SATs so that an instantaneous S/I ratio may be determined for the active call. If the SAT S/I ratio falls below a user specified threshold, then controller 130 will force a hand-off to a new channel.

As stated above, RF characterization is accomplished by analyzing signal and interference measurements of the available channels. The serving signal and interference received by WAPBX handheld 160 provides enough information to characterize the forward or downlink performance. These paths are shown in FIG. 1 as first antenna 140-to-handheld unit 160 forward serving signal path 170 and macrocell 110-first antenna 140-to-handheld 160 forward interference path 180. The reverse or uplink performance is depicted in FIG. 1 as handheld 160-to-first antenna 140 reverse serving signal path 171 and mobile 120-to-first antenna 140 reverse interference path 181. In an exemplary embodiment, a radio, for example a test radio or a voice radio, is used to measure signal strength and interference on active and idle channels.

Referring now to FIG. 3, there is shown a flowchart 300 depicting a method for characterizing the RF environment in accordance with the present invention. Note that block 302 represents a set of core functions that are used in the present invention method and for sake of clarity, will be referred to by block 302 instead of the separate functions after the initial explanation. The present invention method requires characterization of the RF environment before channel assignment decisions can be made. As a consequence, controller 130 must collect signal strength measurements on both active and idle channels. On initial system start up, or after any full system initialization, all channels will be marked as idle (block 305). In an exemplary embodiment, controller 130 will scan through the entire channel list, making one 10 ms signal strength measurement per channel (block 305). Measurements can be achieved, for example, by configuring a radio to perform the measurement on an external antenna. The measurements are independent of SAT. In this embodiment, the radio will scan through the channel list, 100 times so that 100 measurements are made for each channel. The signal strength values (in dBm) will be stored per channel. Alter the first 100 measurements have been made for all channels, controller 130 will calculate a 41 point interference probability density function in 2 dB increments from -40 to -120 dBm (block 310).

In an exemplary embodiment, a pdf is calculated by using a histogram which establishes a frequency count for each signal bin and then each count is normalized to the number of sample points. Since there is no serving-signal information available on start up (as no calls have been made yet), controller 130 will generate a serving-signal pdf based on a log normal distribution using a mean of -90 dBm and a standard deviation of 6 dB (see Appendix 2) (block 312). Similar to the interference pdf for each channel, the serving-signal pdf will be given in 2 dB steps and range from -40 to -120 dBm.

In one embodiment, controller 130 will then determine the S/I by convolving each interference pdf with the servingsignal pdf such that

$$cdf(k) = \sum_{n=-\infty}^{\infty} pdf_{\text{server}}(n) pdf_{\text{interfere(k-n)}}$$

The resulting 81 point signal-to-interference pdf is integrated to form a cumulative distribution function. Since the pdf is discrete, this simply becomes a summation for each element

$$cdf(i) = pdf(i) + cdf(i - 1)$$

where cdf(0)= pdf(0) (block 315).

20

40

45

55

Controller 130 will then determine for each S/I distribution, the 10th percentile value by searching through the distribution to determine the two neighboring points and performing a linear interpolation (block 320). The 10th percentile value will be the S/I ratio (in dB) at which 90 percent of the calls will experience this performance level or better.

The S/I 10th percentiles for all channels are then ranked in descending order so that the best performing channels will be assigned first (block 322). Statistically, this should allow controller 130 to assign channels in a nonconsumptive manner, i.e., assigning channels on an available basis as opposed to reserving a set of channels for assignment purposes. As detailed below, the inactivity threshold now becomes an S/I threshold below which no channels will be

The state of the s

assigned.

10

15

In an alternative embodiment, the convolution of the pdfs can be achieved by assuming that the interference distributions are approximately log-normal. Using this approach, the pdfs are integrated into cdfs and a least-squares fit is performed on each distribution to determine the slope and intercept. Under the log-normal assumption, the slope and the intercept will yield the mean and standard deviation. The convolution is then simply a subtraction of means and the square root of the sum of the standard deviations squared.

$$\mu_{S/I} = \mu_{S} - \mu_{I}$$

$$\sigma_{S/I} = \sqrt{\sigma_s^2 + \sigma_I^2}$$

Furthermore, assuming the 10th percentile value is 1.282 standard deviations away from the resulting S/I mean.

In accordance with the present invention, the system uses signal strength measurements from "foreground" call processing (block 330) and from "background" idle channel monitoring (block 325). Note that no measurement information is discarded by controller 130 without being processed. This creates a statistically valid set of signal strength measurements that track configuration changes in the macrocellular system over time.

After system initialization, controller 130 has characterized the RF environment and is ready to assign channels. In processing calls, controller 130 will continue to make signal strength measurements on both the serving channel and on requested idle channels. However, as a background task to call processing, controller 130 will scan through the entire channel list to continue measuring signal strength on idle channels on a more uniform basis (block 327).

This background measurement process is no different from the initial interference measurement described previously. In an exemplary embodiment, a radio is configured to measure the signal for 10 ms on an antenna without regard to SAT. A channel is skipped if it is not idle. As in the initial RF characterization, each measurement will be stored by channel.

In processing calls, controller 130 will use the serving voice channel to monitor the received signal strength of each call every 2 seconds (block 332). As detailed below, the measurement must now account for SAT, since SAT will be used to trigger S/I-related hand-offs. Similar to the interference measurements, the serving-signal measurements will be stored, however, the server's array is independent of channel.

As hand-off requests are processed, controller 130 will perform a final check before assigning a new channel to a call in progress (block 335). In one embodiment, a radio is configured to measure the idle channel's received signal strength from the surrounding macrocellular system to ensure that it is interference free. A 10 ms signal measurement without SAT is performed using the radio on an antenna. As detailed below, if the final channel verification indicates that the channel has become degraded since the last update, the present invention method will select the next best idle channel. This will be repeated until the call is assigned a channel.

In accordance with the present invention method, the signal strength measurement will be stored for each channel. Up to 1000 signal strength measurements will be stored per channel and, when this limit is reached, the oldest measurements will be deleted (i.e., first in, first out) to clear the array for the newest measurements (block 307). Controller 130 will update the S/I matrix by regenerating a new histogram for a channel when the number of new measurements taken since the last histogram was created exceeds 250 (block 302). Controller 130 will determine the interfering pdf, and, using the existing serving-signal pdf, the convolved S/I pdf and the 10th percentile as described previously. The resulting S/I 10th percentile for the channel is then inserted into the previously ranked list of S/I 10th percentiles and the channel's old value is removed.

In the exemplary embodiment, up to 1000 signal strength measurements will be stored for the server and, when this limit is reached, the oldest measurements will be deleted (i.e., first in, first out) to clear the array for the newest measurements. Again, controller 130 will regenerate a new histogram and pdf for the server when the number of new measurements taken since the last histogram was created exceeds 250. The first time the serving-signal pdf is updated, all S/I values will be recalculated. Recall that the serving-signal pdf was only estimated at start up because no calls were active.

In an exemplary embodiment, a full scan of the entire channel list (395 voice channels) will take less than 10 seconds. The present invention should not impede the operation of controller 130 or a base station. Alternatively, if timing does become a problem, as it might in multi-sector, multi-cell WAPBX systems, a subset of channels can be created so that the controller will scan the higher performing channels more often to update the performance data. In this embodiment, the subset size, for example, could be determined from projected or actual usage and an Erlang B calculation. If subsets are required, a 10-to-1 subset-to-entire set scan ratio is suggested to assure the freshness of the S/I matrix. As would be understood, higher or lower subset-to-entire set ratios can be used.

In a further embodiment, the present invention is applied to multiple sectors and multiple cells. Extension of the

present invention method to a multi-sector WAPBX cell (i.e., a cell having multiple sectors) requires characterizing the serving and interfering signal strength for each sector. In an exemplary multiple sector embodiment, it is assumed that the cell operates under the condition that no channel is assigned to two sectors simultaneously. Under these circumstances, it is not necessary to measure sector-to-sector interference. In other words, all interference is assumed to come from the surrounding macrocellular system.

In another embodiment, an exemplary multi-cell WAPBX system permits frequency reuse, where reuse implies simultaneous operation of two voice channels on the same frequency. Alternatively, a WABPX system without reuse can be treated like the multi-sector cell above. Recall that RF characterization was defined above on a channel-bychannel basis, except for the serving signal, which was characterized by sector. For a multi-cell WAPBX system with reuse, the macrocellular-to-WAPBX interference must still be on a channel-by-channel basis since the WAPBX control-Ier has no macrocellular knowledge. However, within the WAPBX system, inter-WAPBX cell interference can be reduced to cell/sector pair combinations, significantly reducing the amount of data required by the present invention system and method. In this embodiment, all signal strength measurements are grouped by sector, not channel. In essence, the controller creates a signal matrix where the off-diagonal elements represent the potential interference for a particular sector pair and the diagonal elements represent the serving signal. As the WAPBX system adds more cells, the controller simply expands the matrix. An S/I value would be determined (as previously described) for each cell/sector pair, so that the controller would not assign a charme! to two sectors simultaneously that have S/I ratios below some user-specified threshold. Note that this does not obviate the need for characterizing the interference from the macrocellular system on a channel-by-channel-basis, but it simplifies quantifying this inter-WAPBX interference.

Referring now to FIG. 4, there is shown a flowchart 400 depicting a method for assigning channels in accordance with the present invention. Once the characterization of the RF environment is accomplished, controller 130 will then assign channels from the S/I-ranked channel list starting with the channel that has the best S/I ratio (block 405). As each new request for a channel is initiated, controller 130 will assign the best idle channel (block 410). Controller 130 always starts at the top of the list. As calls are completed on the better-performing channels, these channels again become available so that new requests are initially assigned to a good channel (block 407).

Controller 130 will not assign calls to channels with S/I 10th percentiles less than 17 dB. As would be understood, this ratio value ensures good performance 90% of the time. No additional separation requirements are necessary since in-band adjacent components are already accounted for in the RF characterization for each channel. Statistically the channel will perform well regardless of the source of interference if its S/I ratio is high.

In an exemplary embodiment, controller 130 will make one final signal strength measurement on the selected idle channel before assigning the channel to the call (block 415). This final check provides added protection against an RF environment that has changed significantly since the last characterization. The interfering signal strength should be below the mobile noise floor, which is approximately -116.5 dBm, thus ensuring the channel is interference free. If it is not, then the next best available channel in the ranked S/I list is chosen and checked. The assignment process is repeated until the call is assigned a channel (block 420). In one embodiment, the final check is performed using a radio configured to measure the received signal for 10 ms on the external antenna without regard to SAT.

Since the RF characterization is based on the premise that all signal and interference measurements are used to update the S/I matrix, the returned signal strength value is added to the channel's signal strength array. One advantage of the final interference check is that controller 130 will add more sample points to channels with higher S/I ratios, in effect, to the busier channels. If a busy channel has degraded because the macrocellular configuration has changed, the final interference check will continue to add new measurements to the channel's signal array even though the channel will not be assigned. As a result, controller 130 will update the channel list sooner than if the channel had been initially characterized as a poor candidate.

In a further embodiment, a service provider will have the option of disabling the final interference check, thus forcing the present invention method to rely solely on the S/I characterization. The tradeoff for the loss of performance security is the saving of controller 130 time resources, which may be desirable as the WAPBX system increases in size. Alternatively, it can be argued that disabling this option will actually draw more processing time from controller 130 if more calls are set up in a degraded state and trigger S/I related hand-off requests. However, the signal degradation should not be too severe since the characterization should produce statistically good service.

Referring now to FIG. 5, there is shown a flowchart 500 depicting a method for monitoring calls in accordance with the present invention. Call monitoring is performed for active calls to ensure adequate performance. Controller 130 measures the SAT power level after the received signal has been demodulated (block 505). Based on the SAT information, a SAT S/I value is calculated (block 510) and compared against a user-specified SAT S/I trigger ratio (block 515). The default value for the trigger ratio will be 17 dB. If the SAT S/I value is below the threshold, then controller 130 will force a hand-off, thus initiating the channel assignment process described above (block 520).

Frequent call monitoring will result in calls being handed off before poor voice quality becomes noticeable to the subscriber. Under these conditions, the S/I ratio trigger will detect whether a collision is about to take place. In this sense, collision refers to the detection of interference on the channel carrying the serving signal. In one embodiment, a

55

10

20

SAT power level detection circuit would be an adjunct to the base station, which would send the SAT power level value back to controller 130.

The signal measurement for monitoring calls was described previously with reference to foreground measurements. After controller 130 has received the SAT power level, SAT POWER, and the SAT frequency, controller 130 then has enough information to calculate an instantaneous SAT S/I value. The SAT modulation index, β , is known to be 1/3, the radian SAT frequency, ω_{SAT} , is known to be $2\pi x 5970$ Hz, $2\pi x 6000$ Hz, or $2\pi x 6030$ Hz. If the effects of noise are small enough, the value of the average power of the desired signal to the average power of the interfering signal is given by the following equation:

$$S/I = \frac{2^{\circ}SATPOWER}{\beta^2 \omega^2} \cdot SATPOWER$$

If the effects of noise must be accounted for, then a predetermined level (a constant offset) should be used in the equation above. For the SAT detector circuit to filter the SAT correctly, the radian frequency of the distortion components should be greater than $2\pi x90$ Hz.

In an exemplary embodiment of the present invention system; remote: power adjustment and remote SAT assignment is required to support a self-configuring system. However, it should noted that the present invention system will work without these features. In these embodiments, it would be necessary to revisit periodically and re-engineer the WAPBX system to accommodate nonfrequency-related changes in the surrounding macrocellular network.

Remote Power Adjustment ("RPA") is required if the control channel signals from the macrocellular system 101 are received at higher levels than the WAPBX control channel signals within the WAPBX environment 102. If this occurs, then WAPBX system 102 will not be able to process call requests because the macrocellular cell will overpower and take control of the internal call. This happens because the control channels used in an IS-20 interface protocol, for example, are the same set for both the macrocellular system and microcellular system. In embodiments which use an IS-94 interface protocol, the additional spectrum allows user handsets to scan the expanded Personal Communications Service ("PCS") control channels before scanning the existing cellular control channels. In these systems, a different control channel is used for the microcellular system. However, as stated above, non-IS-94 handsets will require the present invention RPA.

In accordance with the present invention, RPA allows controller 130 to periodically monitor the control channel signal strength of neighboring macrocells and adjust the indoor power levels accordingly to ensure FM capture. In one embodiment, a radio measures the forward control channel signal on an external antenna. For this configuration, the antennas should be mounted at the perimeter of the building and the control channel power must be set to exceed the measured value, which is either a statistical mean or the 10th percentile. This value may be offset by a constant representing the pathloss difference between the antenna and the handset location. The constant offset, having a default value of 0, is obtained through field measurements when the system is installed. In general, control channel powers in macrocellular systems do not change very often and a comparison twice per day is probably acceptable.

The second feature required for a self-configurable embodiment of the present invention is the remote SAT assignment. Similar to RPA, this feature is not necessary for the dynamic assignment of channels in accordance with the present invention. As stated earlier, SATs assist cells in distinguishing between voice calls and interferers. In general, there are three situations which must be accounted for by the system. First, the system can receive multiple calls on multiple channels, each call using, for example, SAT 1. This is a normal situation and does not represent a problem. Second, the system can receive a voice call with SAT 1 and an interferer with SAT 2. This represents moderate degradation which the cell can correct for by using the different SATs. The third situation is where the voice call and the interferer are both using SAT 1. This is referred to as co-channel, co-SAT interference, which represents a worst-case impairment. Co-SAT neighbors are not a problem with fixed frequency assignments, since neighbors in general, are not also interferers. For WAPBX system 102, however, the co-channel, co-SAT condition is exacerbated by the present invention method since it takes advantage of idle channels assigned to neighboring macrocells. In one embodiment, the present invention method alleviates this problem by selecting the SAT frequency least used by the neighboring macrocells. Therefore, it is necessary to periodically monitor the SAT from the neighboring macrocells. The selection criteria is based on relative SAT power levels provided by the SAT detection circuit. In performing a scan across all channels controller 130 will determine the statistically weakest SAT of the three available and assign that SAT for WAPBX system 102 use.

The SAT characterization is similar to the channel characterization except that not as many sample points are required nor do the values need to be retained after configuration. Since there are not enough sample points for a valid 10th percentile comparison, an average value for each SAT will suffice. The controller will use the SAT with the lowest average. In one embodiment, a radio can be configured to obtain the desired information by measuring a forward voice signal strength with SAT on an external antenna.

5

10

20

Numerous modifications and alternative embodiments of the invention will be apparent to those skilled in the art in view of the foregoing description. Accordingly, this description is to be construed as illustrative only and is for the purpose of teaching those skilled in the art the best mode of carrying out the invention. Details of the structure may be varied substantially without departing from the spirit of the invention and the exclusive use of all modifications which come within the scope of the appended claim is reserved.

APPENDIX 1

Description	Default Value
Initial Number of Signal Measurements per	100
Channel	
Serving Signal Mean	-90
Serving Signal Standard Deviation	6
Foreground Measurement Interval	2
Total Number of Signal Measurements per Channel	1000
Number of Signal Measurements for Channel Update	250
Total Number of Signal Measurements per Server	1000
Number of Signal Measurements for Server Update	250
Optional Channel Subset Size	64
Subset-to-Entire Set Scan Ratio	10
S/I 10th% Channel Assignment Cut-off Threshold	.17
Interfering Signal Strength on Final Check	-116.5
SAT Trigger Threshold	17
Pathloss Offset	0

Appendix 2

Generating the Serving Signal Distribution

The serving signal cdf and pdf can be generated using a standardized N(0,1) normal distribution table that is defined on the range of values on the interval [-5,5] in step sizes of 0.01. For explanatory purposes, this table is stored in an array cdf, and it is constructed by the following formula:

$$cdf(n) = \frac{1 + erf\left(\frac{0.01 + i}{\sqrt{2}}\right)}{2}$$

for n and i ϵ [-500,500], where both n and i are integers. An approximation for the error function can be found in most mathematical handbooks.

The 41-point serving-signal distribution F_s is defined on the range [m=-120,-40] dBm in 2 dB steps. For each m, the index norm to the cdf table is calculated as follows:

$$norm = \inf \left[\frac{m - \mu}{\sigma * 0.01} \right]$$

Here, μ is set to -90 and σ is set to 6 dB.

For each m, F_s is simply the table look-up cdf(norm). The resulting cdf is differentiated to form the pdf. The pdf is discrete, so this simply becomes a subtraction for each element:

$$pdf(i) = cdf(i) - cdf(i-1)$$

o Claims

10

15

20

25

30

35

40

45

55

A method for assigning channels to a microcellular communications system surrounded by a macrocellular communications system, said method comprising the steps of:

characterizing a RF environment of the microcellular system and the surrounding macrocellular environment;

assigning one of said channels based on characteristics of said RF environment; and

monitoring said channels to ensure call performance by assigning a better performing channel if available from said channels based on updated characteristics of said RF environment.

2. The method according to claim 1, wherein said step of characterizing includes the steps of:

generating a signal-to-interference ratio for each of said channels; and

ranking said channel by said signal-to-interference ratio so that a highest performing available channel is assigned first.

3. The method according to claim 2, wherein said step of generating includes the steps of:

computing an interference probability density function from a set of interference signal measurements; computing a serving signal probability density function; and determining said signal-to-interference ratio from said serving signal probability density function and said interference probability density function.

- 4. The method according to claim 3, wherein said serving signal probability density function is computed using a log normal distribution with a given mean and a given standard deviation.
- 5. The method according to claim 3, wherein said serving signal probability density function is computed from a set of measurements.
- 6. The method according to claim 1, wherein:

said step of determining includes the step of generating a signal-to-interference ratio for each of said channels; and

said step of assigning includes the step of selecting a highest performing available channel from a signal-to-interference ratio ranked set of channels.

7. The method according to claim 6, wherein said step of assigning further includes:

making a final signal strength measurement on a selected channel before assigning said channel to a call;

verifying if said measurement is below a given threshold; and

repeating said steps of making and verifying until said selected channel is above said given threshold and said selected channel is assigned.

8. The method according to claim 1, wherein said step of monitoring includes the steps of:

calculating a signal-to-interference ratio from a measured supervisory audio tone power for each active call;

comparing said signal-to-interference ratio to a given threshold; and

requesting a hand-off if said signal-to-interference ratio is below said given threshold.

- 9. The method according to claim 8, farther including the step of performing a final verification on a hand-off requested channel before completing said hand-off.
 - 10. The method according to claim 1, farther including the step of assigning a supervisory audio tone based on a supervisory audio tone characterization of the macrocellular system and the microcellular system.
- 55 11. The method according to claim 10, wherein said assigning a supervisory audio tone step includes the steps of:

measuring power levels of supervisory audio tones used by neighboring macrocells;

5

10

15

20

25

30

35

40

determining a weakest power level supervisory audio tone used by said neighboring macrocells; and appointing said weakest supervisory audio tone to the microcellular system.

- The method according to claim 10, farther including the step of adjusting control channel power of the microcellular system.
 - 13. The method according to claim 12, wherein said step of adjusting includes the steps of:

measuring control channel signal strength of neighboring macrocells in the macrocellular system;

comparing said signal strength to a given threshold; and

increasing power of control channels of the microcellular system if said signal strength is above said threshold.

office per

- 14. The method according to claim 1, farther including the step of adjusting control channel power of the microcellular system.
- 15. The method according to claim 1, wherein said step of characterizing includes the step of generating a signal-tointerference ratio for each of said channels, said method farther including the steps of:

making measurements during call processing to update selected signal-to-interference ratios;

scanning all of said channels on a given interval of time to update said signal-to-interference ratio of idle channels; and

updating said signal-to-interference ratio after a given number of measurements have been received.

- 16. A system for dynamically assigning channels in a microcellular system surrounded by a macrocellular system, said system comprising:
 - a radio for collecting signal measurements on each of said channels;
 - a controller operable to characterize a RF environment of the microcellular system and the macrocellular system by using said signal measurements;
 - said controller farther operable to assign one of said channels based on said RF environment characterization to the microcellular system; and
 - said controller farther operable to monitor said channels to ensure call performance by assigning a better performing channel if available from said channels based on updated characteristics of said RF environment.
 - 17. The system according to claim 16, said controller including:
 - a summer for computing a signal-to-interference ratio for each of said channels; and
 - a trigger set at a given threshold for determining a voice quality level of a call.
 - 18. The system according to claim 16, said controller including:
 - an integrator for computing a signal-to-interference ratio for each of said channels; and a sorter for ranking a given set of said channels by said signal-to-interference ratio so that a highest best idle channel is assigned first.
- 19. The system according to claim 18, wherein said given set includes said channels having a signal-to-interference ratio above a given threshold.
 - 20. The system according to claim 18, wherein:

10

15

25

35

40

45

said radio conducts a final signal strength measurement on a selected channel before assigning said selected channel to a call;

said controller verifying that said final measurement is below a given threshold; and

said controller selecting a new channel if said selected channel is above said given threshold.

- 21. The system according to claim 17, wherein said controller hands-off a call to a new idle channel if said trigger is activated.
- 22. The system according to claim 21, wherein said controller performs a final verification before completing a hand-off to said new idle channel.
- 23. The system according to claim 16, said system farther including:
 - a detector circuit for detecting a power level of supervisory audio tones in neighboring macrocells;
 - a comparator for determining a weakest power level supervisory audio tone; and
 - means for appointing said weakest supervisory audio tone to the microcellular system.
- 24. The system according to claim 23, wherein:

5

10

15

20

25

30

35

40

- said controller monitors a control channel signal strength of neighboring macrocells;
- an analyzer for comparing said control channel signal strength against a given power threshold; and
- said controller operable to adjust a power level in the microcellular system if said signal strength is above said given power threshold.
- 25. An apparatus for dynamically assigning channels in a microcellular system surrounded by a macrocellular system, said apparatus comprising:
 - a radio for collecting signal measurements on each of said channels,
 - a controller operable to characterize a RF environment of the microcellular system and the macrocellular system by using said signal measurements;
 - said controller farther operable to assign one of said channels based on said RF environment characterization to the microcellular system; and
 - said controller farther operable to monitor said channels to ensure voice quality by assigning a better performing channel if available from said channels based on updated characteristics of said RF environment.
- 26. The apparatus according to claim 25, said apparatus further including:
 - a detector circuit for detecting a power level of supervisory audio tones in neighboring macrocells;
 - a comparator for determining a weakest power level supervisory audio tone; and
 - means for appointing said weakest supervisory audio tone to the microcellular system.
 - 27. The apparatus according to claim 26, wherein:
- said controller monitors a control channel signal strength of neighboring macrocells;
 - an analyzer for comparing said control channel signal strength against a given power threshold; and

said controller operable to adjust a power level in the microcellular system if said signal strength is above said given power threshold.

28. The apparatus according to daim 25, wherein:

5

10

15

20

3NSDOCID: <EP__0866628A2_1_>

said controller monitors a control channel signal strength of neighboring macrocells; an analyzer for comparing said control channel signal strength against a given power threshold; and said controller operable to adjust a power level in the microcellular system if said signal strength is above said given power threshold.

FIG. 1

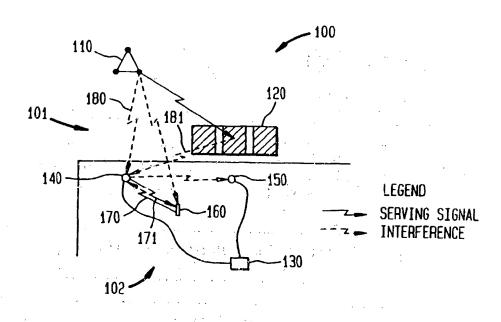


FIG. 2

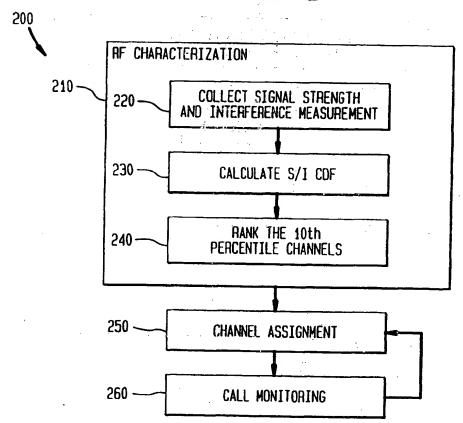
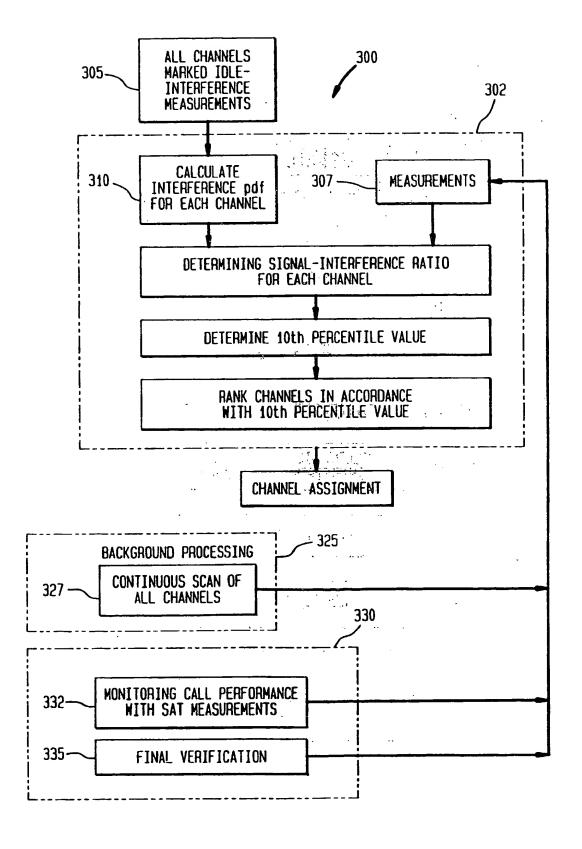


FIG. 3



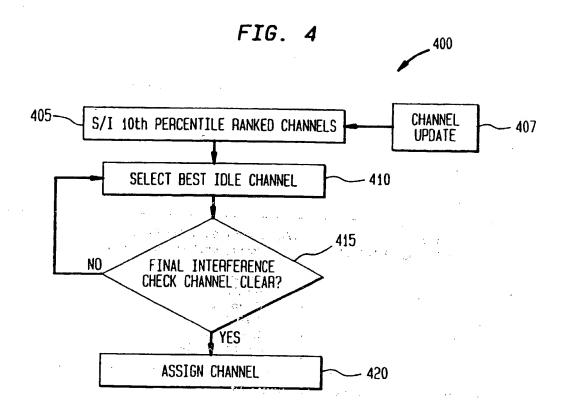
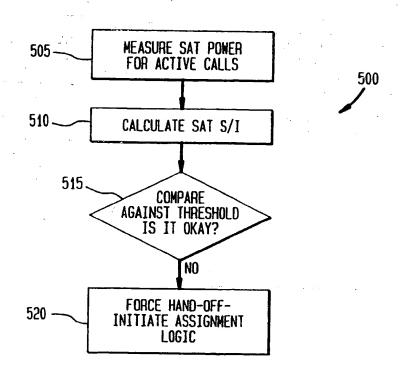


FIG. 5



Europäisches Patentamt

European Patent Office

Office européen des brevets



EP 0 866 628 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3: 31.01.2001 Bulletin 2001/05 (51) Int. Cl.7: H04Q 7/36, H04Q 7/38

(11)

(43) Date of publication A2: 23.09.1998 Bulletin 1998/39

(21) Application number: 97307117.8

(22) Date of filing: 12.09.1997

(84) Designated Contracting States: AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

(30) Priority: 19.03.1997 US 820513

(71) Applicant: AT&T Corp. New York, NY 10013-2412 (US) (72) Inventor: Barnickel, Donald J. Flemington, New Jersey 08822 (US) And the second

(74) Representative:

Suckling, Andrew Michael Marks & Clerk, Nash Court, Oxford Business Park South

Oxford OX4 2RU (GB)

System and method for dynamic channel assignment (54)

A system and method for providing dynamic (57)channel assignments in a microcellular system surrounded by a macrocellular system. The method characterizes the RF environment of the microcellular system and the macrocellular environment. Channels are then ranked according to the signal-to-interference ratio computed from the measured RF characteristics. A call is assigned to a highest ranked idle channel. All active calls are monitored to ensure voice quality and call performance by forcing hand-off to another channel before degradation occurs. In another embodiment, the system is self-configurable to changes in the macrocellular configuration by including remote power adjustment and remote Supervisory Audio Tone assignment functionality.

FIG. 2 RF CHARACTERIZATION COLLECT SIGNAL STRENGTH AND INTERFERENCE NEASUREMENT CALCULATE S/I COF RANK THE 10th PERCENTILE CHANNELS CHANNEL ASSIGNMENT CALL NONITORING



EUROPEAN SEARCH REPORT

Application Number

EP 97 30 7117

Category	Citation of document with indica	ED TO BE RELEVANT		
Jalegory	of relevant passage	s	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.6)
X	EP 0 717 580 A (AT & 19 June 1996 (1996-06- * abstract * * claims 6,7 *	-19)	1-28	H04Q7/36 H04Q7/38
:	* column 3, line 37 - * column 1, line 48 -	Column 4: line 26 +		
X	US 4 736 453 A (SCHLOE 5 April 1988 (1988-04-	MER GERALD R) 05)	1-7,16, 25	
A	* column 4, line 26 - claims 11,12 *	column 5, line 41;	8-15	
•	EP 0 490 554 A (AMERIC TELEGRAPH) 17 June 199 * abstract *	2 (1992-06-17)	1-7,16, 25	
	* column 4, line 56 -	column 8, line 38 *		
- 1	EP 0 648 028 A (NIPPON 12 April 1995 (1995-04 * abstract *	ELECTRIC CO) -12)	1,16,25	
- 1	· · · · · · · · · · · · · · · ·	<u> </u>		TECHNICAL FIELDS SEARCHED (Int.CI.6)
				H04Q
	•			
1	he present search report has been d	rawn up for all claims	1	
	flace of search	Date of completion of the search		Eug
M	UNICH	28 November 2000	In Pa	Examiner as P
X : particul	EGORY OF CITED DOCUMENTS larly relevant if taken alone any relevant if combined with another	T : theory or principle E : earlier patent do after the filling dat	underlying the invitational transfer in the underlying transfer in	ention

EPO FORM 1503 03 82 (PO4C01)

ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 97 30 7117

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

28-11-2000

Patent docur cited in search		Publication date	Patent family member(s)	Publication date
EP 071758	D A	19-06-1996	US 5649290 A CN 1135151 A JP 8237716 A KR 236811 B	15-07-19 06-11-19 13-09-19 15-01-20
US 473645	3 A	05-04-1988	NONE	
EP 049055	4 A	17-06-1992	US 5134709 A AU 640544 B AU 8888291 A BR 9105432 A DE 69126955 D DE 69126955 T ES 2103787 T JP 4302547 A JP 7048903 B	28-07-19 26-08-19 02-07-19 25-08-19 04-09-19 27-11-19 01-10-19 26-10-19
:		·	KR 208541 B MX 9102444 A	15-07-19 01-06-19
EP 064802	8 A	12-04-1995	JP 7107545 A US 5548807 A	21-0 4 -19 20-08-19
	-			
		• •		• •

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

THIS PAGE BLANK (USPTO)

・ か (4 数) 3 (2) 1 - (3) .1,

entre de la companya de la companya

and the second

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects i	in the images include but are not limited to the items checked:
🗆 віл	ACK BORDERS
	AGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FAI	DED TEXT OR DRAWING
BLU	RRED OR ILLEGIBLE TEXT OR DRAWING
SKE	EWED/SLANTED IMAGES
CO	OR OR BLACK AND WHITE PHOTOGRAPHS
☐ GR	AY SCALE DOCUMENTS
LIN	ES OR MARKS ON ORIGINAL DOCUMENT
☐ REF	ERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ оті	EER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)